

# Evolution of Keyword parameters

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Rubyconf Portugal'15

# Background

“Keyword parameters” from Ruby 2.0

```
# From Ruby 2.0 feature
```

```
def foo(k1: 1, k2: 2)
```

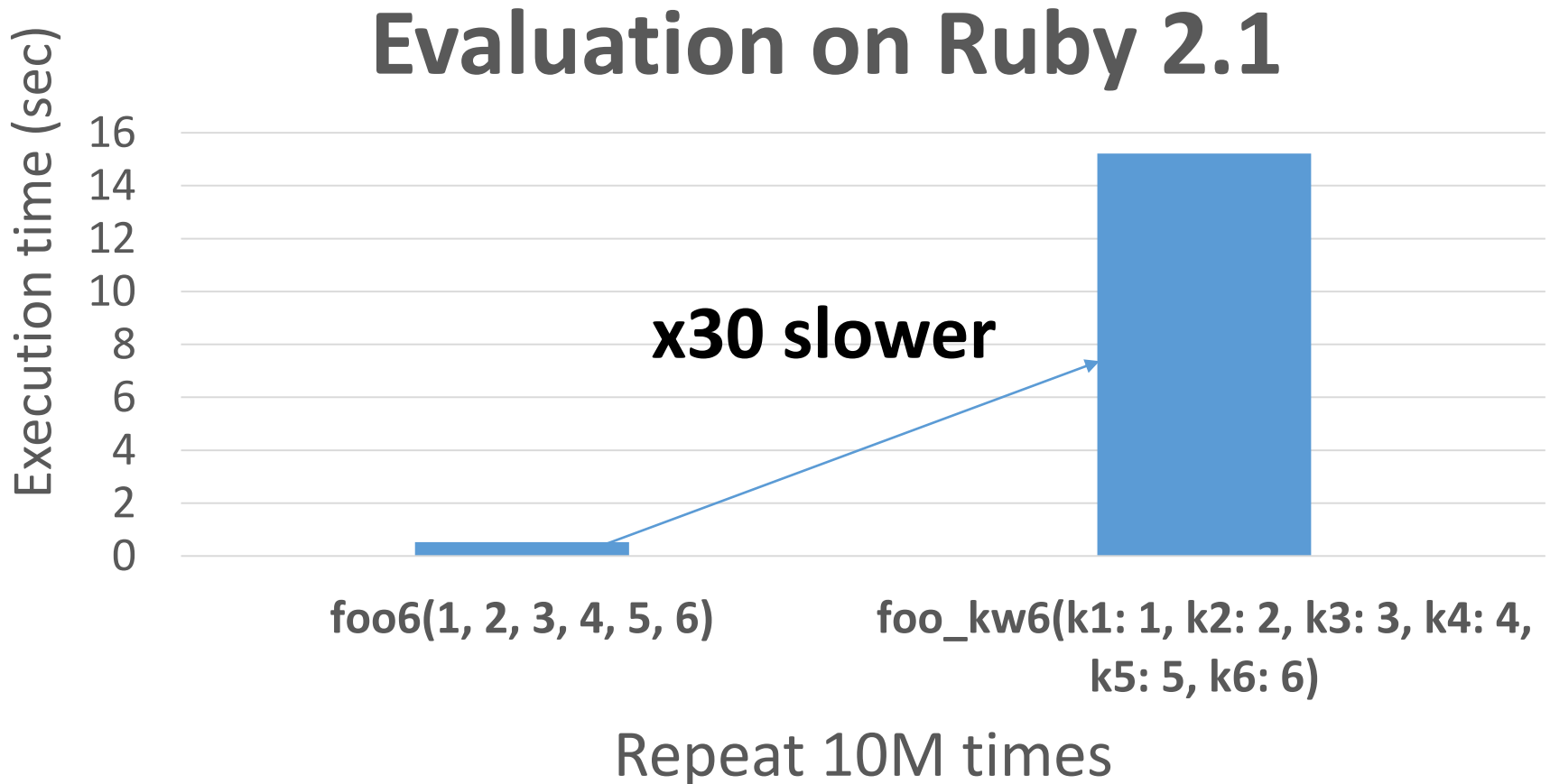
```
  p [k1, k2] #=> [345, 2]
```

```
end
```

```
foo(k1: 345)
```

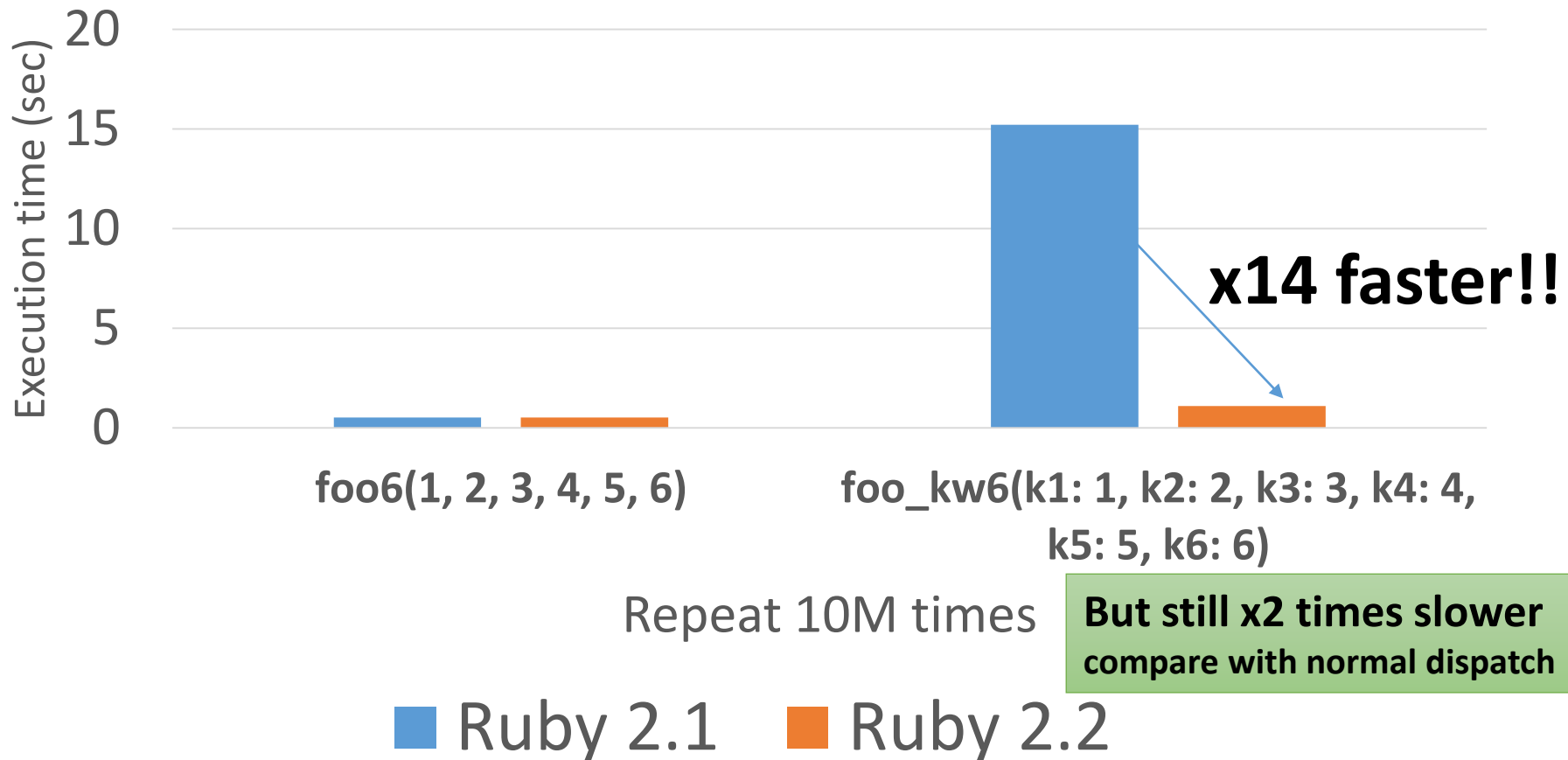
# Background

“Keyword parameters” was slow!!



# Summary

## Ruby 2.2 optimized “keyword parameters”



Why was slow?

How to solve it?

# Koichi Sasada is a Programmer

- MRI committer since 2007/01
  - Original YARV developer since 2004/01
    - YARV: Yet Another RubyVM
    - Introduced into Ruby (MRI) 1.9.0 and later
  - Generational/incremental GC for 2.x



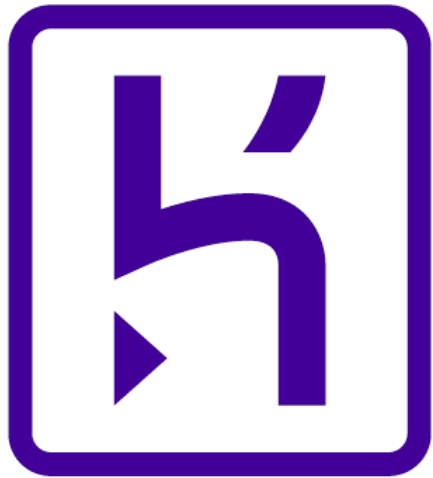
PROGRAMMING  
Language

Koichi Sasada from Japan

## Japanese lesson

English	Thank you
Portuguese	Ob <b>rigado</b>
Japanese	<b>Arigato</b> ありがとう

Koichi is an Employee



heroku



Koichi is a member of Heroku  
Matz team

Mission

**Design Ruby language  
and improve quality of MRI**

Heroku employs three full time Ruby core  
developers in Japan named “Matz team”

# Heroku Matz team

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**Matz**



**Designer/director of  
Ruby**

**Nobu**



**Quite active committer**

**Ko1**



**Internal Hacker**

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# Matz

## Title collector

- He has so many (job) title
  - Chairman - Ruby Association
  - Fellow - NaCl
  - Chief architect, Ruby - Heroku
  - Research institute fellow – Rakuten
  - Chairman – NPO mruby Forum
  - Senior researcher – Kadokawa Ascii Research Lab
  - Visiting professor – Shimane University
  - Honorable citizen (living) – Matsue city
  - Honorable member – Nihon Ruby no Kai
  - ...
- This margin is too narrow to contain



Nobu

Great Patch monster

Ruby's bug

|> Fix Ruby

|> Break Ruby

|> And Fix Ruby



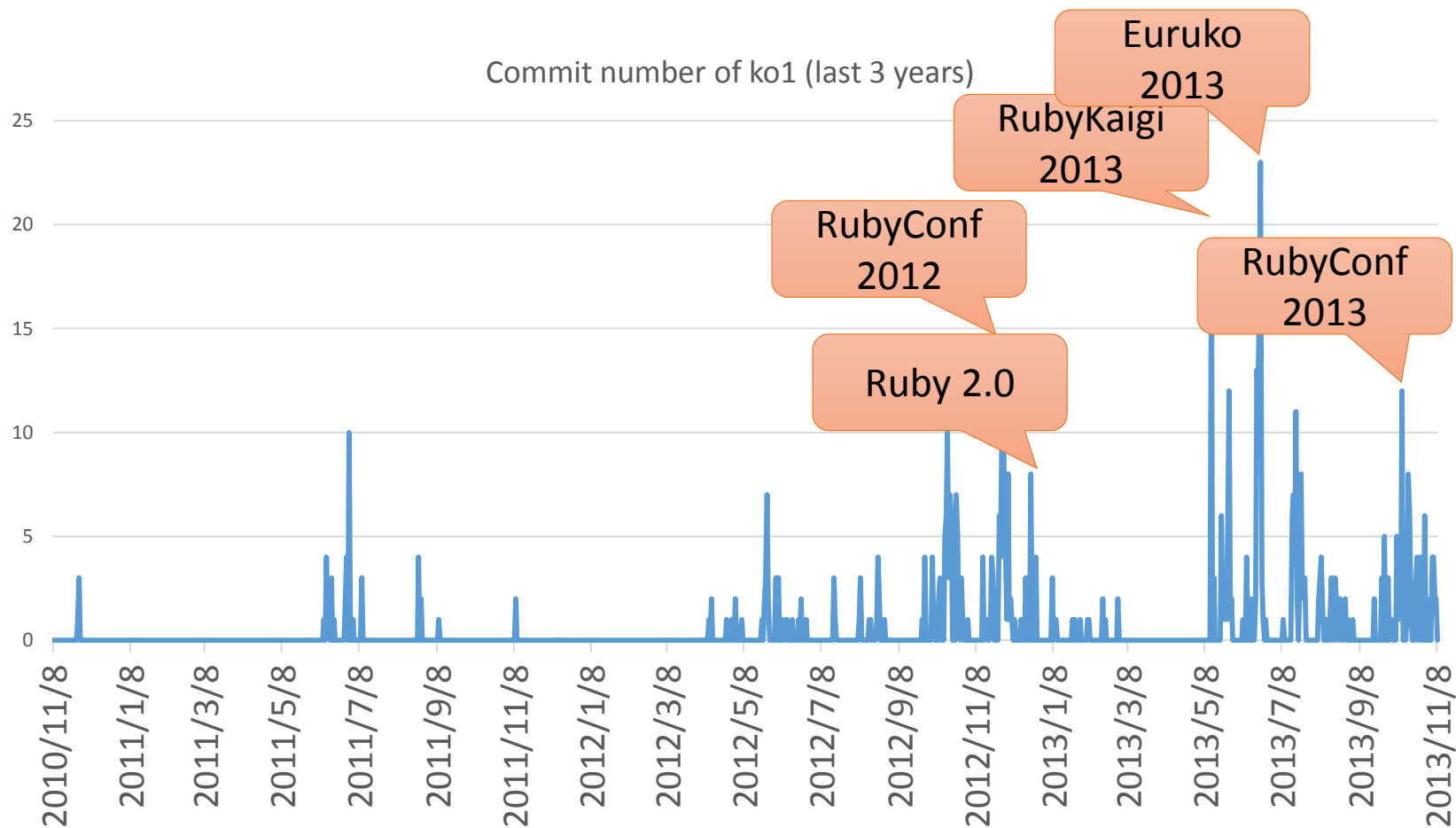




**Nobu**  
**The Ruby Hero**

# Ko1

## EDD developer



**EDD: Event Driven Development**

Heroku Matz team and Ruby core team  
Recent achievement

# Ruby 2.2

Current stable



# Ruby 2.2

## Syntax

- Symbol key of Hash literal can be quoted

```
{“foo-bar”: baz}
```

```
#=> {:“foo-bar” => baz}
```

```
#=> not {“foo-bar” => baz} like JSON
```

**TRAP!!**

**Easy to misunderstand**

(I wrote a wrong code, already...)

# Ruby 2.2

## Classes and Methods

- Some methods are introduced
  - Kernel#`itself`
  - String#`unicode_normalize`
  - Method#`curry`
  - Binding#`receiver`
  - Enumerable#`slice_after`, `slice_before`
  - File.`birthtime`
  - Etc.`nprocessors`
  - ...

# Ruby 2.2

## Improvements

- Improve GC
  - Symbol GC
  - Incremental GC
  - Improved promotion algorithm
    - Young objects promote after 4 GCs
- Fast keyword parameters
- Use frozen string literals if possible

# Ruby 2.2

## Symbol GC

```
before = Symbol.all_symbols.size
```

```
1_000_000.times{|i| i.to_s.to_sym} # Make 1M symbols
```

```
after = Symbol.all_symbols.size; p [before, after]
```

```
# Ruby 2.1
```

```
#=> [2_378, 1_002_378] # not GCed ☹️
```

```
# Ruby 2.2
```

```
#=> [2_456, 2_456] # GCed! 😊
```

# Ruby 2.2

## Symbol GC Issues history

- **Ruby 2.2.0** has memory (object) leak problem
  - Symbols has corresponding String objects
  - Symbols are collected, but Strings are not collected! (leak)
- **Ruby 2.2.1** solved this problem!!
  - However, 2.2.1 also has problem (rarely you encounter BUG at the end of process [Bug #10933] ← not big issue, I want to believe)
- **Ruby 2.2.2** had solved [Bug #10933]!!
  - However, patch was forgot to introduce!!
- **Finally, Ruby 2.2.3 solved it!!**
  - **Please use newest version!!**

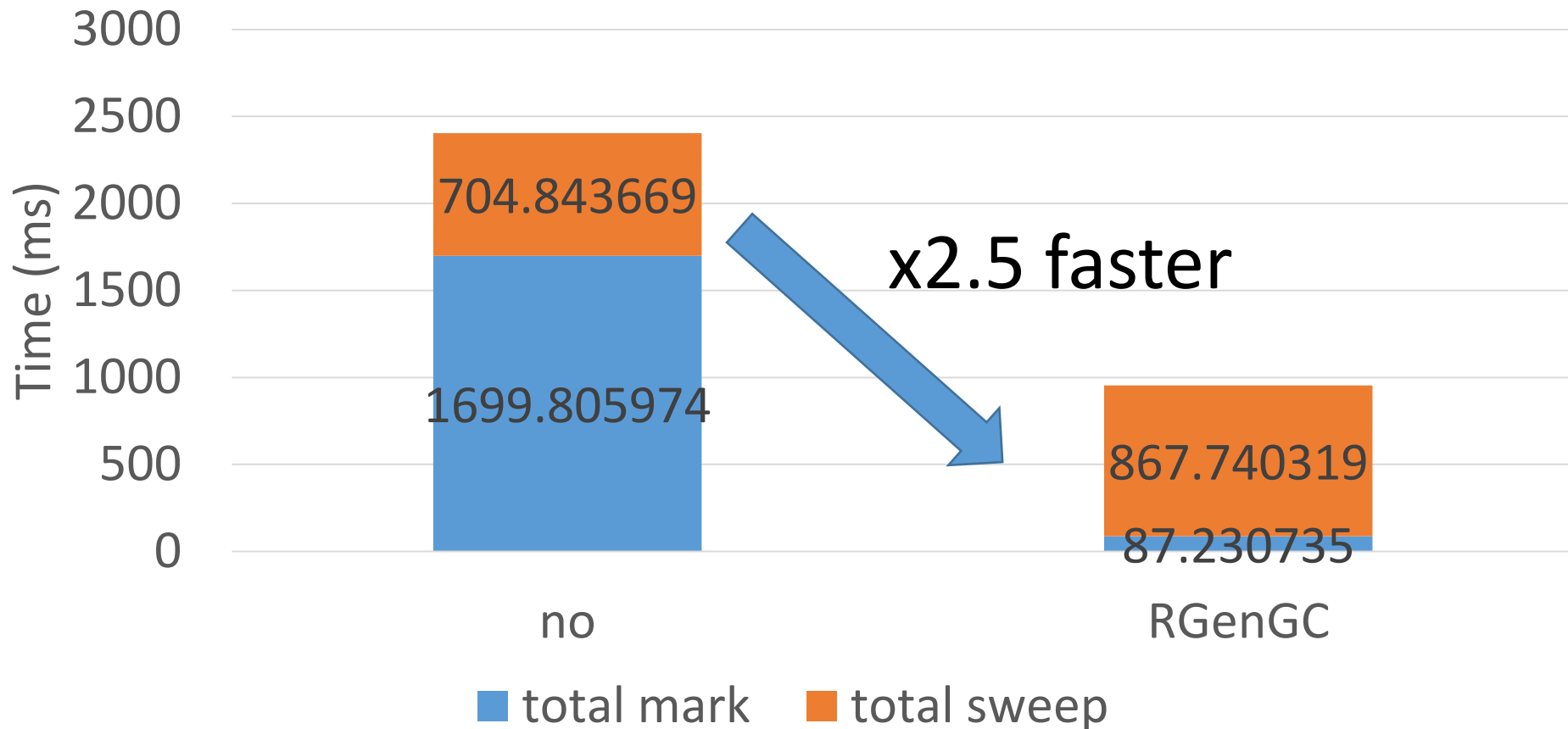
# Ruby 2.2

## Incremental GC

### Goal

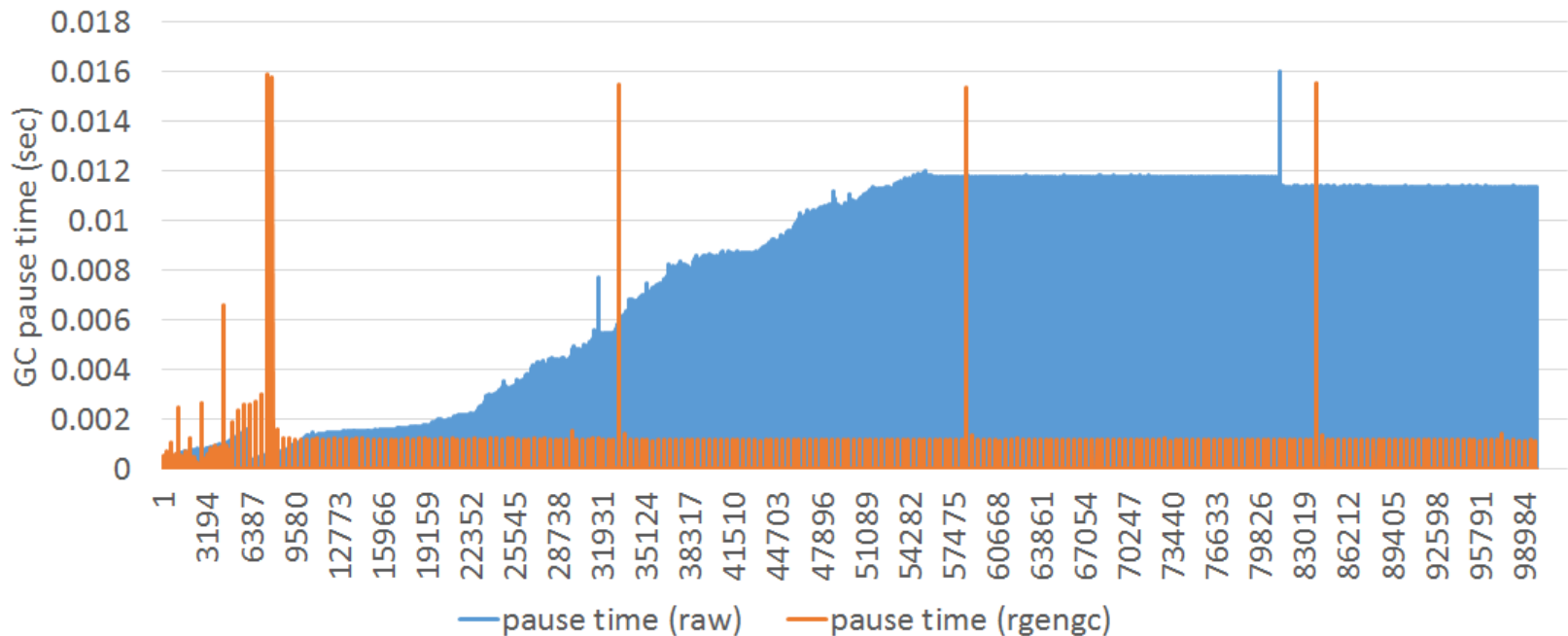
	Before Ruby 2.1	Ruby 2.1 RGenGC	Incremental GC	Ruby 2.2 Gen+IncGC
Throughput	Low	High	Low	High
Pause time	Long	Long	Short	Short

# RGenGC from Ruby 2.1: Micro-benchmark



# RGenGC from Ruby 2.1: Pause time

**Most of cases, FASTER 😊**

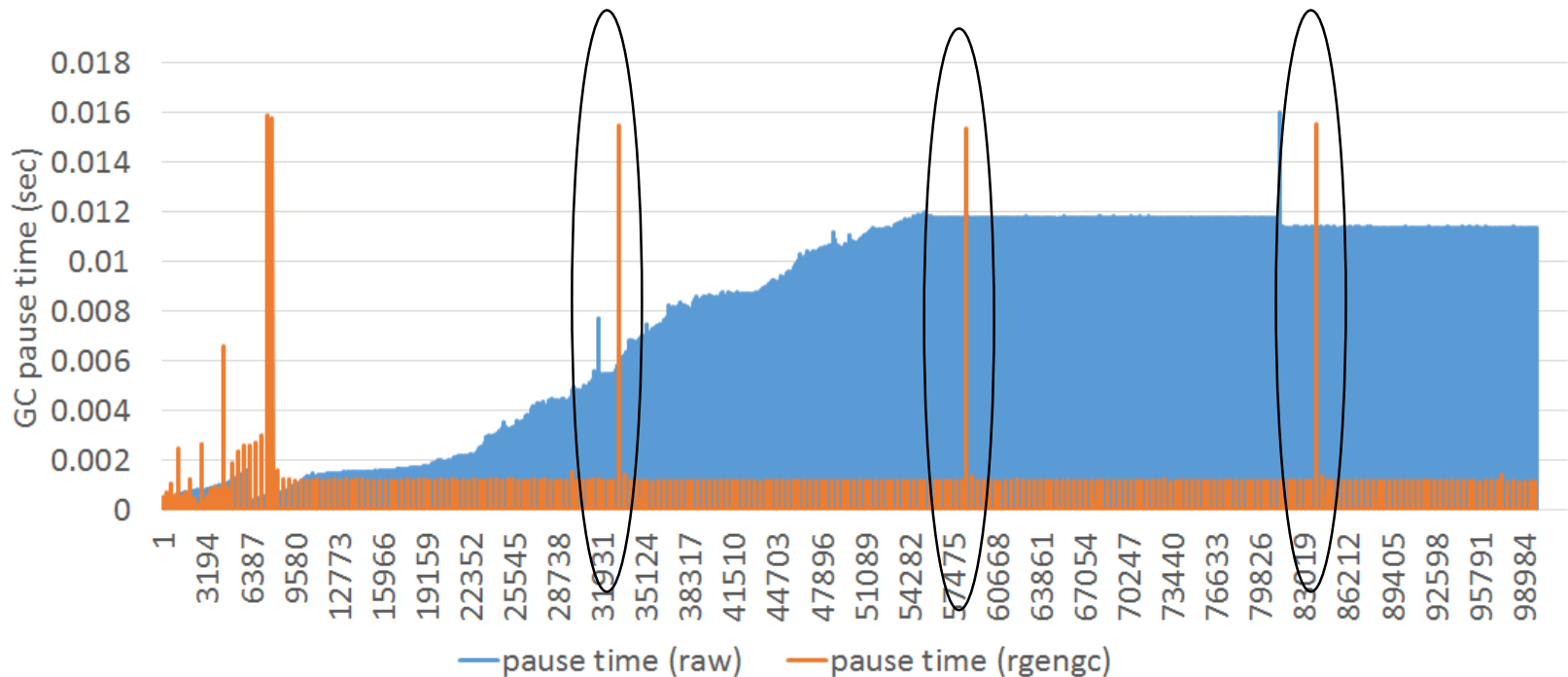


(w/o rgengc)



# RGenGC from Ruby 2.1: Pause time

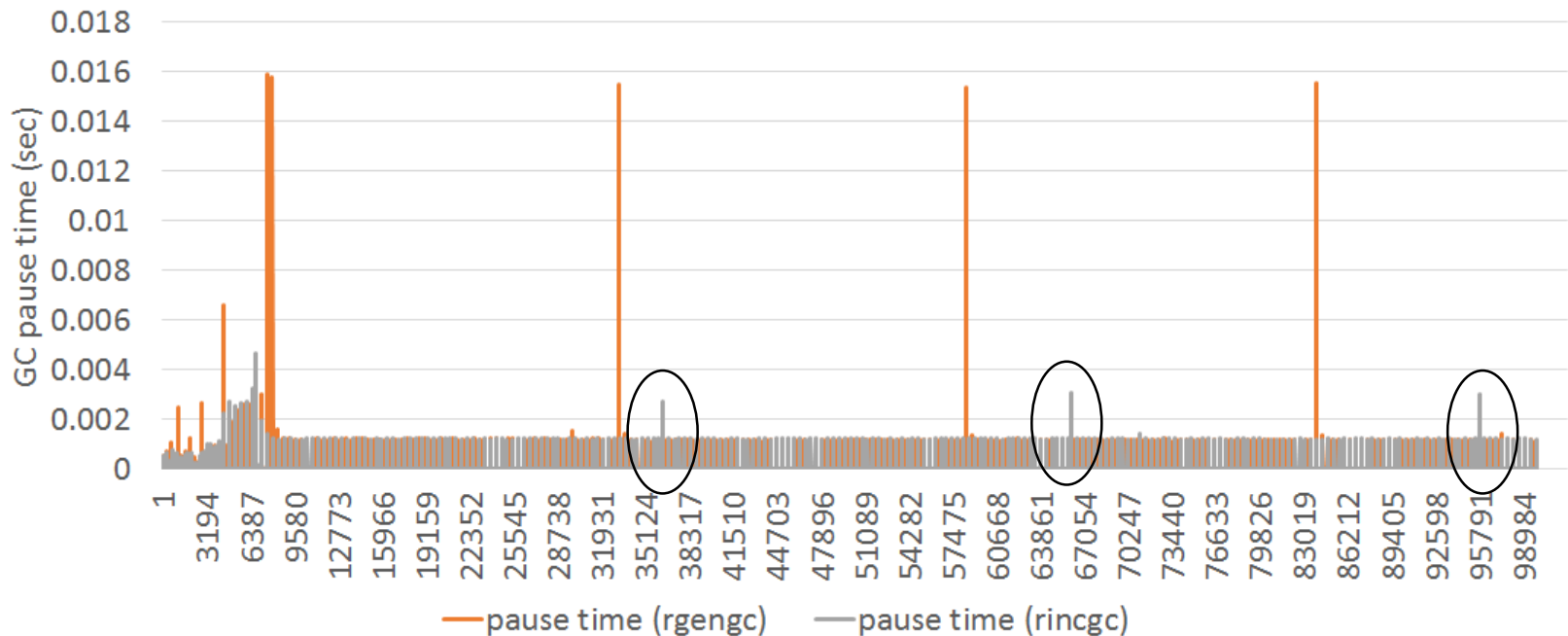
**Several peaks ☹️**



(w/o rgengc)

# Ruby 2.2 Incremental GC

**Short pause time 😊**



Heroku Matz team and Ruby core team  
Next target is

# Ruby 2.3

Heroku Matz team and Ruby core team  
Next target is

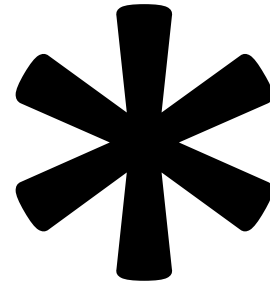
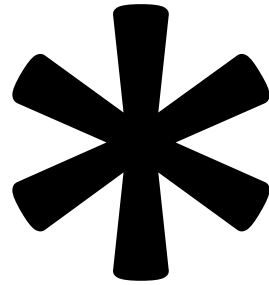
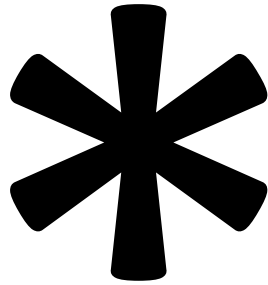
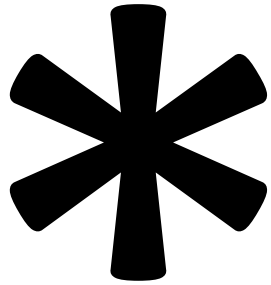
# Ruby 2.3

No time to talk about it.

Please ask me later 😊

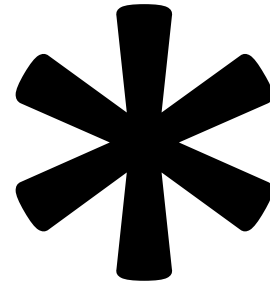
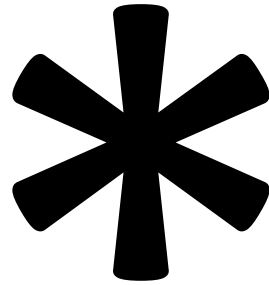
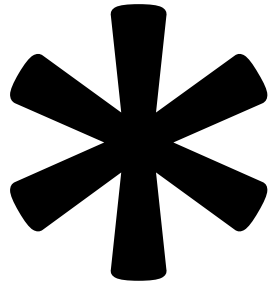
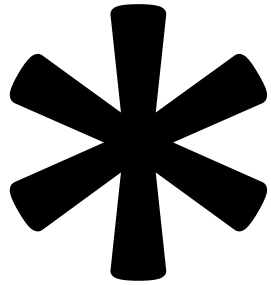
Back to the main topic

# Ruby has many

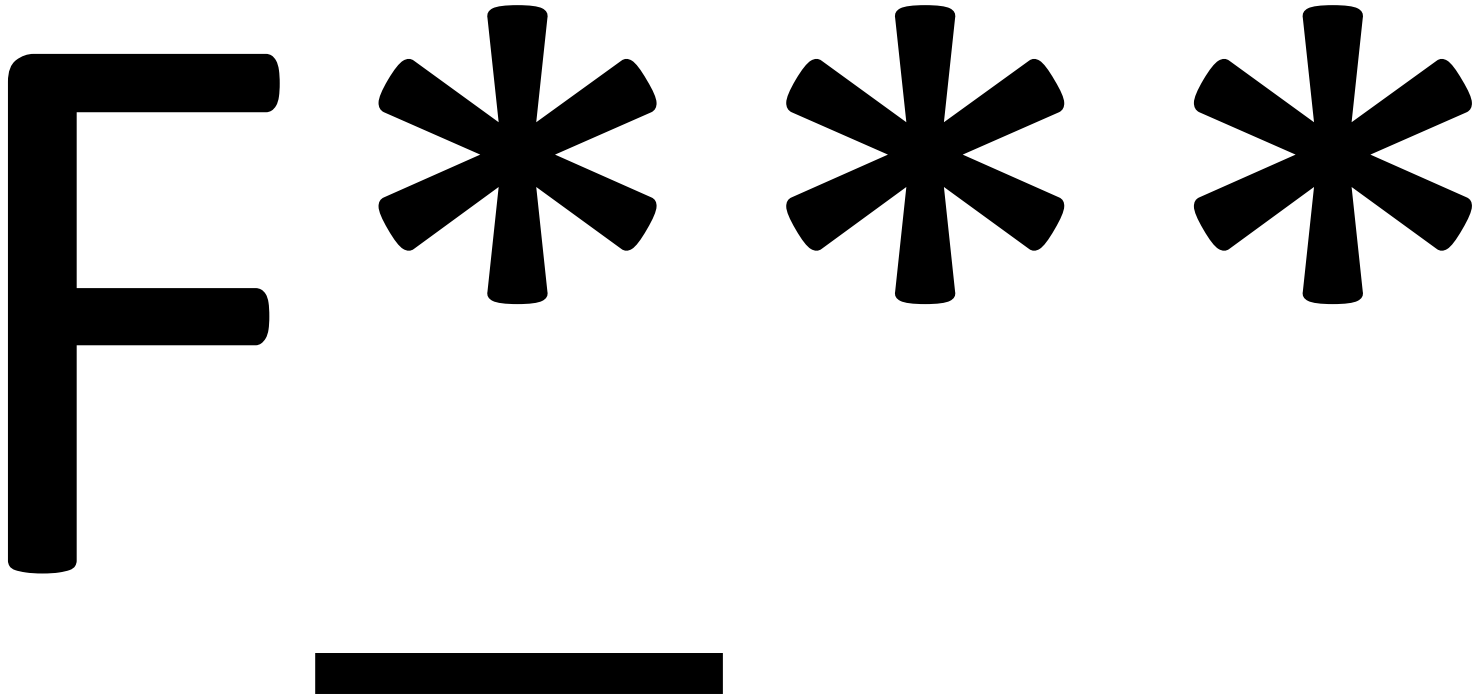


**Let's play hangman game**

Ruby has many



Ruby has many





Ruby has many

**F U \* \***

# RubyConf 2008 Keynote by Dave Thomas (Pragmatic programmer)



Friday, April 8, 2011

Quoted from Dave Thomas Keynote

# RubyConf 2008

## Keynote by Dave Thomas



Friday, April 8, 2011

Quoted from Dave Thomas Keynote

# RubyConf 2008

## Keynote by Dave Thomas



**FORK  
RUBY**

Friday, April 8, 2011

Quoted from Dave Thomas Keynote

Ruby has many

**F U \* \***



**RubyConf Portugal 2015**  
**Koichi Sasada**

Ruby has many

**F U N C**

Or Methods

# Optimizing Func(tion)s or Methods is important for Ruby

- Syntax optimization (readable/writable)
  - Call without **parenthesis**
  - Passing blocks with **braces** or **do/end**
  - Splat/block arguments (**\*args**, **&block**)
  - Optional/rest/post/block parameters by def
    - **def foo(m1, m2, o1=1, o2=2, \*rest, p1, p2, &block)**
  - **Keyword arguments/parameters**
- Performance optimization
  - By virtual machine implementation. My task 😊

Tough work to pass many arguments

```
# Quoted from my Ruby code
```

```
scinsn = Instruction.new(  
  name, opes, pops, rets, comm,  
  orig_insn.body, orig_insn.tvars, orig_insn.sp_inc,  
  orig_insn, orig_insn.defopes, :sc, nextsc, pushes)
```

**12 arguments.**

**Can't understand what parameter mean.**



Tough work to pass many arguments

```
# Difficult to understand what we specify
```

```
GC::Tracer.start_logging(filename, false, false, false)
```

**Only 4 arguments,  
but also it's difficult to read**

# Keyword parameter helps you

```
# quoted from my Ruby code
```

```
GC::Tracer.start_logging(  
  filename,  
  gc_stat: false,  
  gc_latest_gc_info: false,  
  rusage: false  
)
```

**Easy to understand!**

# The History of Keyword parameter

# Hash notation at the last argument from beginning of Ruby

Create a  
Hash object

```
foo(1, 2, :key1 => v1, :key2 => v2)
```

3 arguments

# Same as

```
# foo(1, 2, {:key1 => v1, :key2 => v2})
```

# Symbol hash notation from Ruby 1.9.3

```
foo(1, 2, key1: v1, key2: v2)
```

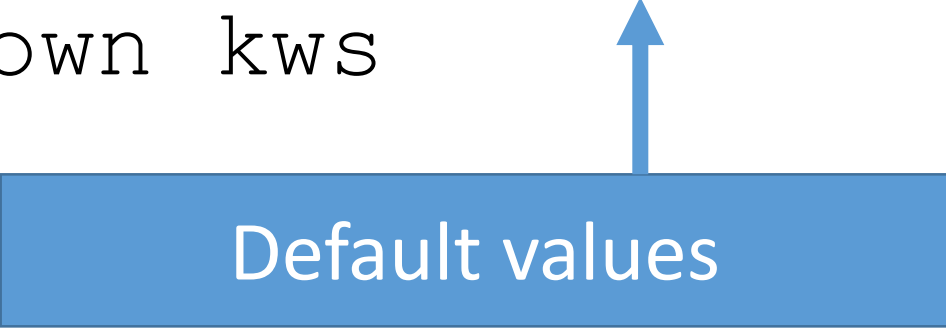
```
# Same as
```

```
# foo(1, 2, :key1 => v1, :key2 => v2)
```

```
# foo(1, 2, {:key1 => v1, :key2 => v2})
```

# Keyword parameters processing before Ruby 2.0

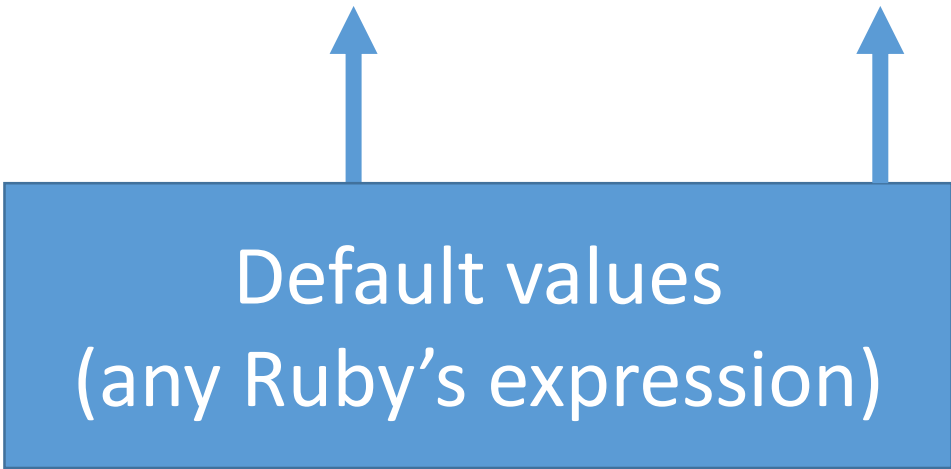
```
def foo(a, b, kw={}) # kw is Hash
  key1 = kw.fetch(:key1, 1)
  key2 = kw.fetch(:key2, 2)
  # check unknown kws
  ...
end
```



A blue rectangular box containing the text "Default values" is positioned at the bottom right. A blue arrow points upwards from the top center of this box to the default values "1" and "2" in the code lines "key1 = kw.fetch(:key1, 1)" and "key2 = kw.fetch(:key2, 2)".

# Keyword parameters from Ruby 2.0 (1)

```
def foo(a, b, key1: 1, key2: 2)  
  ...  
end
```



**We don't need to write  
Hash access any more!**

# Keyword parameters from Ruby 2.0 (2)

- Raise an exception when unknown keywords are passed
- Rest keyword parameter (\*\*kw) can receive non-specified keyword parameters

```
def foo(k1: v1, **kw)
  p kw #=> {k2: 2, k3: 3}
end

foo(k1: 1, k2: 2, k3: 3)
```

- Blocks also can accept keyword parameters

```
foo{|k1: 1, k2: 2| ...}
```



# Required keyword parameter from Ruby 2.1

```
def foo(a, b, key1: 1, key2:)  
  ...  
end
```



No default value  
Need to specify by caller

```
# Similar to  
def foo(a, b, key1: 1, key2: raise("err"))  
  ...  
end
```

# The Implementation of Keyword parameter

# Implementation of keyword parameter Ruby 2.0 and Ruby 2.1

- Caller: make a Hash object and pass it normally
  - Same as Ruby 2.0 and before
- Callee: decompose a Hash object and assign to local variables correctly
  - Mostly same code of decomposing code in Ruby
  - Need some more error checking

# Implementation of keyword parameter Ruby 2.0 and Ruby 2.1

```
def foo(k1: v1, k2: v2)
  ...
end
```

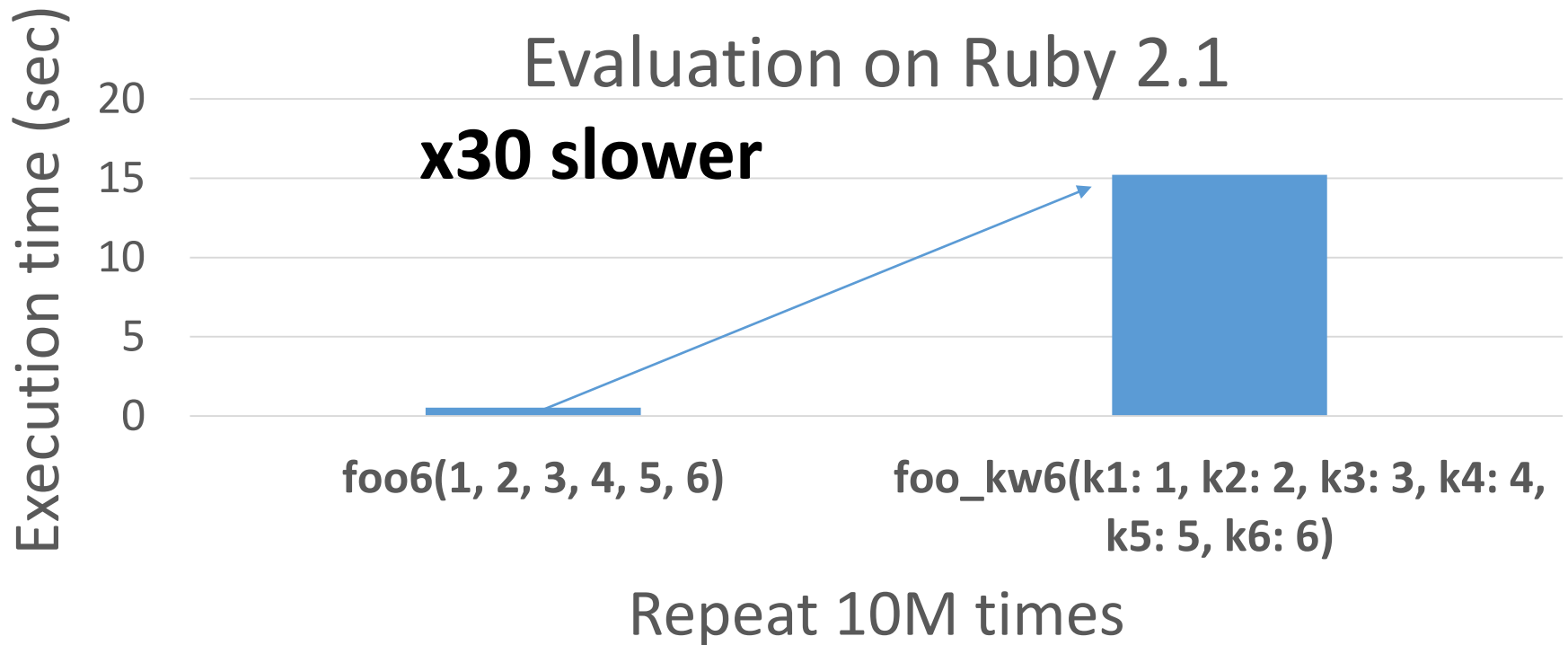


**Compiler translate to**

```
def foo(h={})
  k1 = h.fetch(:k1, v1)
  k2 = h.fetch(:k2, v2)
  # (and error check code)
  ...
end
```

# Bad NEWS

## Slow keyword parameters



# Why slow, compare with normal parameters?

## 1. Hash creation

## 2. Hash access

```
def foo(k1: v1, k2: v2)
  ...
end
foo(k1: 1, k2: 2)
```



```
def foo(h = {})
  k1 = h.fetch(:k1, v1)
  k2 = h.fetch(:k2, v2)
  ...
end
foo( {k1: 1, k2: 2} )
```

1. Hash creation

2. Hash access

# Optimization technique of keyword parameters from Ruby 2.2

- Key technique

- Pass “a keyword list” instead of a Hash object

Preparation: Make “keyword list” and “default value list” at compile time

- We can see all source code at compile time
- Collect keywords in a list for each method call
  - ex: “foo(k1: x, k2: y)” #=> kwlist is [:k1, :k2]
- Collect “Receive keyword list (Rkwlist)” and “Default values list (dvlist)” in each method definition
  - ex: “def foo(k1: 1, k2: 2)” #=> Rkwlist is [:k1, :k2],  
dvlist is [1, 2]
  - ex: “def foo(k1: 1, k2: f2())” #=> dvlist is [1, Qundef]


NOTE: Qundef is internal special value which should not expose Ruby world



Call with keyword parameter [Sender]  
Pass “kwlist” instead of making a Hash

- Pass values as a “keyword list”

```
foo(k1: 1, k2: 2)
```




```
foo(1, 2, kwlist)
```

NOTE: This is pseudo code.  
kwlist is not passed as an argument,  
but passed as calling information.

# Call with keyword parameter [Receiver] Manipulate passed kwlist

- Assign local variables with passed keyword list

```
def foo(k1: 1, k2: 2, k3: 3)
```



```
kvs = [1, 2]; kwlist=[:k1, :k2]  
Rkwlist = [:k1, :k2, :k3]  
dvlist = [1, 2, 3]
```

Pseudo code

```
def foo(*kvs, kwlist)  
  Rkwlist.each.with_index{|k, i|  
    ki = kwlist.index(k)  
    assign(k, ki ? kvs[ki] : dvlist[i])  
  }  
}
```

# Call with keyword parameter [Receiver] Treat with default values with expressions

```
def foo(k1: 1, k2: f2(), k3: f3())
```



```
Rkwlist = [:k1, :k2, :k3]  
dvlist = [1, Qundef, Qundef]
```

## Pseudo code

```
def foo(*kvs, kwlist)  
  unset_bits = 0  
  Rkwlist.each.with_index{|k, i|  
    if ki = kwlist.index(k)  
      v = kvs[ki]  
    else if (v = dvlist[i]) == Qundef  
      v = nil  
      unset_bits[i] = 1  
    end  
    assign(k, v)  
  } # cont to right
```

```
# k1 is already initialized  
k2 = f2() unless unset_bits[1]  
k3 = f3() unless unset_bits[2]
```

```
... # start of method body
```

```
end
```

**Using BITMAP to remember unspecified keywords**

**NOTE: Qundef is internal special value which should not expose Ruby world**

# Q. Why not assign Qundef directly? (instead of using bitmap)

```
def foo(k1: 1, k2: f2(), k3: f3())
```



Rkwlist = [:k1, :k2, :k3]  
dvlist = [1, Qundef, Qundef]

Pseudo code

```
def foo(*kvs, kwlist)
  unset_bits = 0
  Rkwlist.each.with_index{|k, i|
    ki = kwlist.index(k)
    v = ki ? kvs[i] : dvlist[i]
    assign(k, v)
  }
  k2 = f2() unless k2 == Qundef
  k3 = f3() unless k3 == Qundef
  ... # start of method body
end
```

# A. We can access initializing keyword variables with eval()

```
def foo(k1: 1,  
        k2: eval("k3"), # should be nil  
        k3: f3())
```

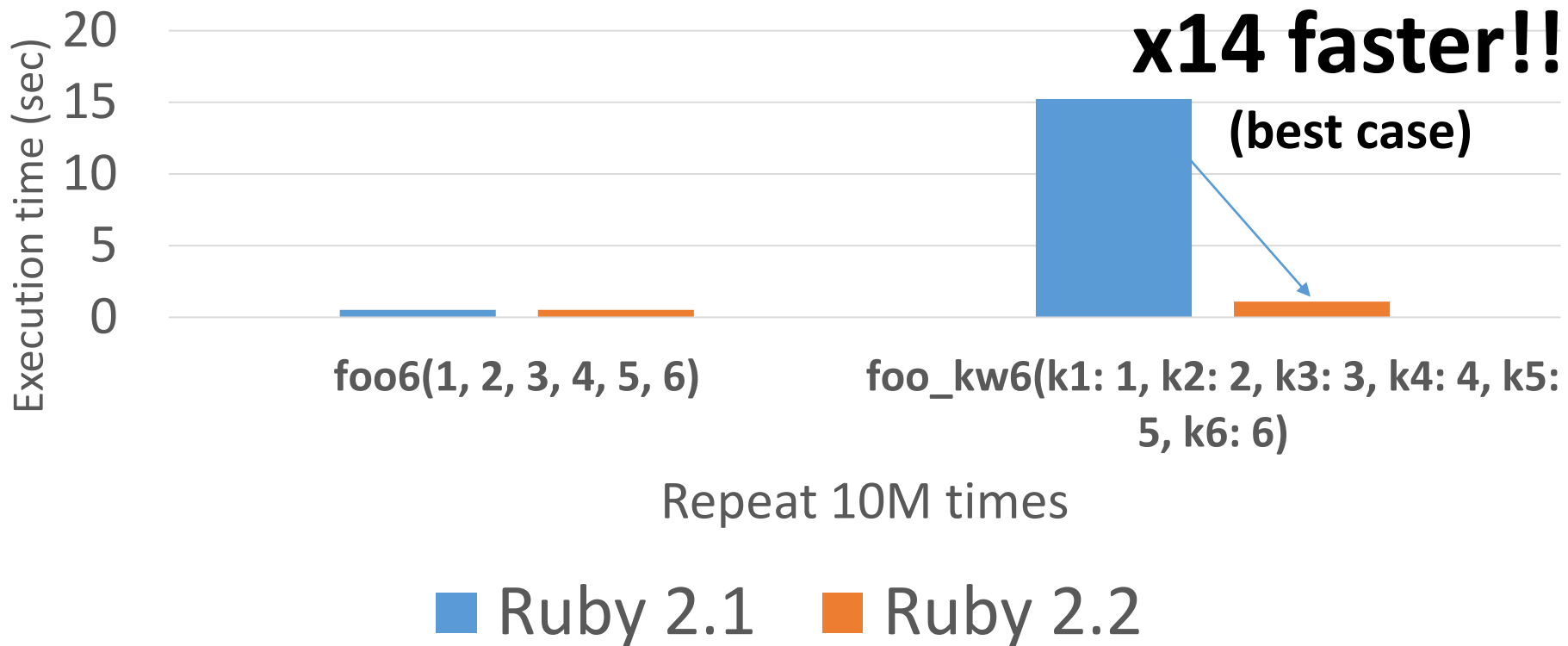
# Evaluation result

## Compare 3 types methods

1. `def foo6(a, b, c, d, e, f); end`
  - Normal method dispatch with 6 parameters
2. `def foo_kw6(k1: 1, k2: 2, k3: 3, k4: 4, k5: 5, k6: 6); end`
  - Default values are immediate values
3. `def foo_complex_kw6(k1: 1+1, k2: 2+1, k3: 3+1, k4: 4+1, k5: 5+1, k6: 6+1); end`
  - Default values are expressions (not immediate values)

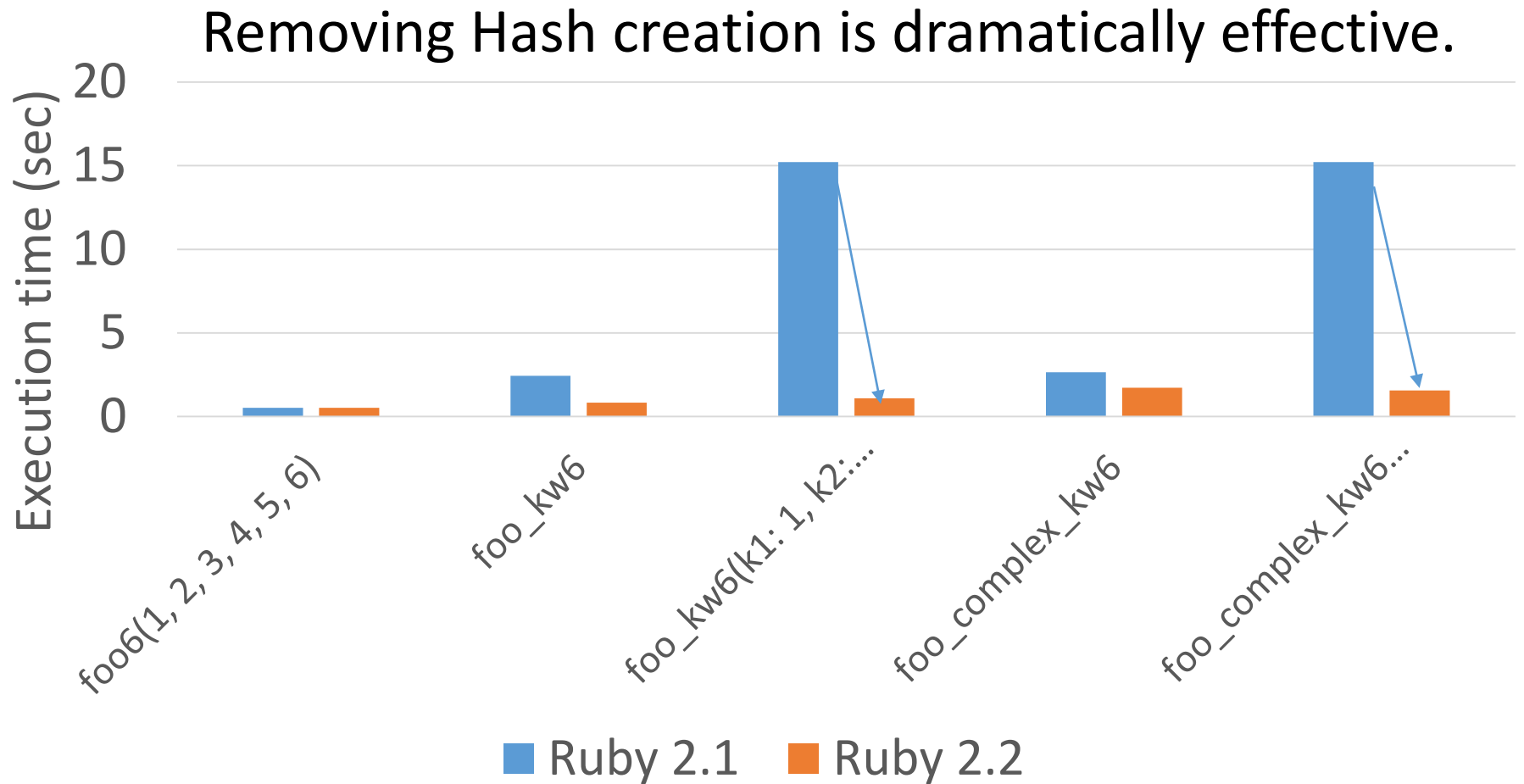
# Result: Fast keyword parameters

Ruby 2.2 optimizes method dispatch with keyword parameters



**But still x2 times slower**  
compare with normal dispatch

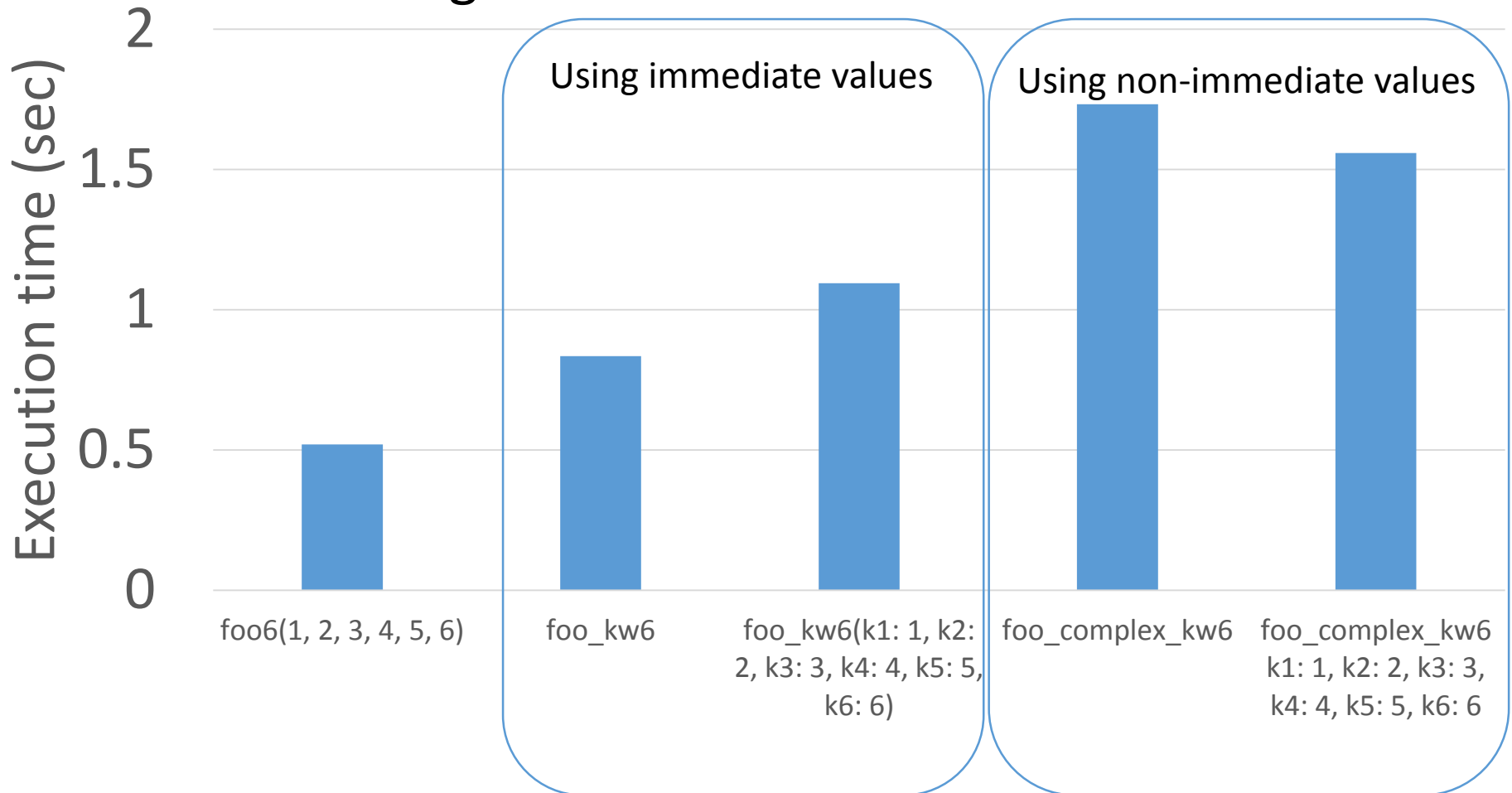
# Result: Ruby 2.1 vs. Ruby 2.2





# Result: Ruby 2.2

Using immediate default values is effective



# Challenge:

## Improve computational complexity

- Computational complexity of current impl. is  **$O(mn)$** 
  - Now,  $m$  and  $n$  is enough small (only a few keywords), but...

`n = kwlist.length`

`m = Rkwlist.length`

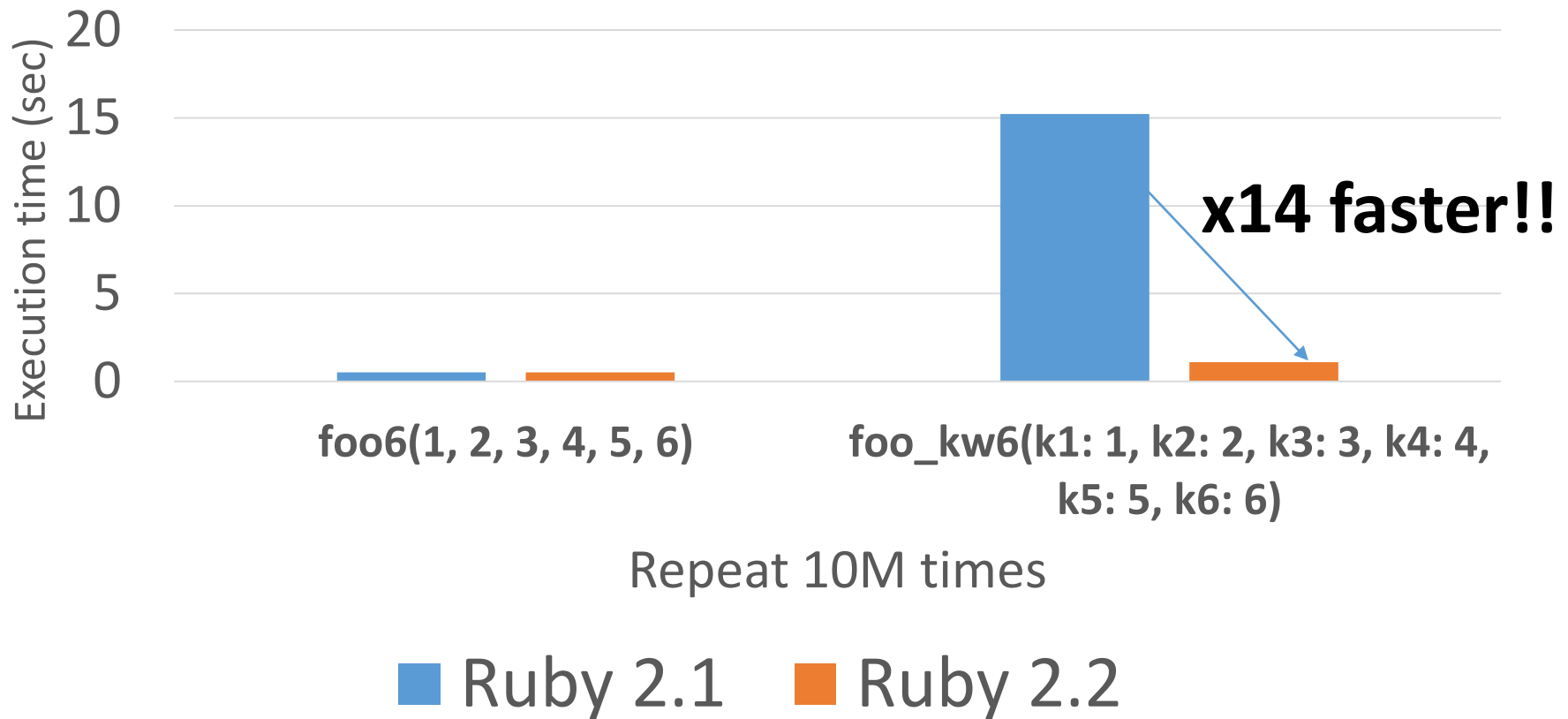
Total computationan complexity:  **$O(mn)$**

Pseudo code

```
def foo(*kvs, kwlist)
  Rkwlist.each.with_index{|k, i| # m times
    ki = kwlist.index(k)          →  $O(m)$ 
    ...                            $O(n)$ 
```

# Summary

Ruby 2.2 optimized  
“keyword parameters”



But still x2 times slower  
compare with normal dispatch

Thank you for your attention  
Arigato!

Koichi Sasada

<ko1@heroku.com>

